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Attorney Docket No. 108298535US  
Disclosure No. 00-0438

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

RECEIVED APPLICATION OF: THEODORE M. TAYLOR  
APPLICATION No.: 09/939,432  
FILED: AUGUST 14, 2001  
FOR: **APPARATUS AND METHOD FOR  
CONDITIONING A CONTACT SURFACE OF A  
PROCESSING PAD USED IN PROCESSING  
MICROELECTRONIC WORKPIECES**

EXAMINER: EZIAMARA ANTHONY OJINI  
ART UNIT: 3723  
CONF. No: 2793

**Resubmission of Information Disclosure Statement**  
**Filed on December 23, 2002**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The enclosed Information Disclosure Statement (IDS) was filed with the U.S. Patent and Trademark Office on December 23, 2002 for the above-referenced application, but the Examiner did not receive the cited references. Applicant herewith resubmits for official consideration by the Examiner the IDS and cited references filed on December 23, 2002.

No fees are believed due, however, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

If the Examiner has any questions, he is requested to contact David T. Dutcher at (206) 359-6465.

Respectfully submitted,  
Perkins Coie LLP

David T. Dutcher  
Registration No. 51,638

Date: Dec. 8, 2003

**Correspondence Address:**

Customer No. 25096  
Perkins Coie LLP  
P.O. Box 1247  
Seattle, Washington 98111-1247  
(206) 359-8000



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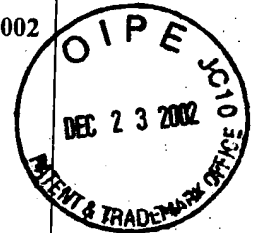
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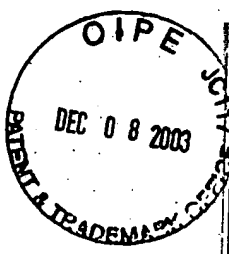
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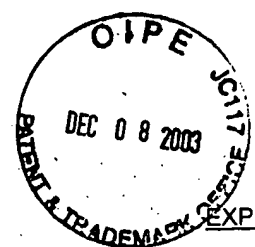
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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

THEODORE M. TAYLOR

APPLICATION No.: 09/939,432

FILED: August 24, 2001

FOR: **APPARATUS AND METHOD FOR  
CONDITIONING A CONTACT  
SURFACE OF A PROCESSING PAD  
USED IN PROCESSING  
MICROELECTRONIC  
WORKPIECES**

EXAMINER: NOT YET ASSIGNED

ART UNIT: 3723

CONFIRMATION No.: 2793

**Information Disclosure Statement Within Three Months of  
Application Filing or Before First Action – 37 CFR 1.97(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent U.S. Application No. :

- ☐ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority



citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
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3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

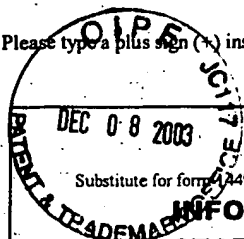
Respectfully submitted,  
Perkins Coie LLP

Date: December 16, 2002

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Paul T. Parker  
Registration No. 38,264

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STATEMENT BY APPLICANT**

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Application Number	09/939,432
Confirmation Number	2793
Filing Date	August 24, 2001
First Named Inventor	Theodore M. Taylor
Group Art Unit	3723
Examiner Name	Not yet assigned

Sheet

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of

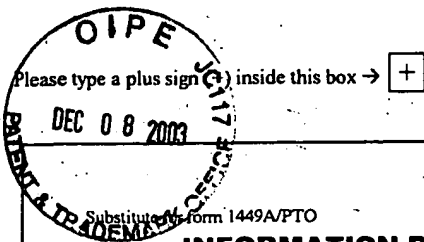
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Attorney Docket No.

108298535US

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIALS	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
	AA	4,793,895		Kaanta et al.	12/27/88	
	AB	5,020,283		Tuttle	06/04/91	
	AC	5,036,015		Sandhu et al.	07/30/91	
	AD	5,069,002		Sandhu et al.	12/03/91	
	AE	5,196,353		Sandhu et al.	03/23/93	
	AF	5,216,843		Breivogel et al.	06/08/93	
	AG	5,222,329		Yu	06/29/93	
	AH	5,232,875		Tuttle et al.	08/03/93	
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	AJ	5,244,534		Yu et al.	09/14/93	
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	AL	5,314,843		Yu et al.	05/24/94	
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	AO	5,433,651		Lustig et al.	07/18/95	
	AP	5,449,314		Meikle et al.	09/12/95	
	AQ	5,486,129		Sandhu et al.	01/23/96	
	AR	5,514,245		Doan et al.	05/07/96	
	AS	5,522,965		Chisholm et al.	06/04/96	
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	AW	5,618,381		Doan et al.	04/08/97	
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	BB	5,650,619		Hudson	07/22/97	



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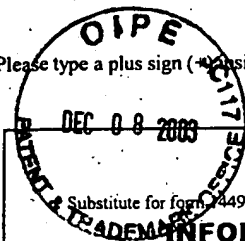
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Application Number	09/939,432
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First Named Inventor	Theodore M. Taylor
Group Art Unit	3723
Examiner Name	Not yet assigned
Attorney Docket No.	108298535US

Sheet	2	of	5
BC	5,655,951	Meikle et al.	08/12/97
BD	5,658,190	Wright et al.	08/19/97
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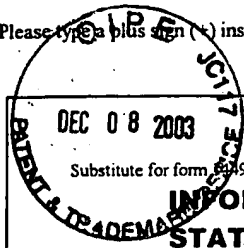
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First Named Inventor	Theodore M. Taylor
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Attorney Docket No.	108298535US

Sheet	3	of	5
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Attorney Docket No.	108298535US

Sheet	4	of	5
DM	6,191,037 B1	Robinson et al.	02/20/01
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ER	6,319,420 B1	Dow	11/20/01

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Examiner Name		Not yet assigned					
Sheet	5	of	5	Attorney Docket No.		108298535US	
	ES	6,323,046 B1		Agarwal	11/27/01		
	ET	6,325,702 B2		Robinson	12/04/01		
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	EX	6,331,488 B1		Doan et al.	12/18/01		
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	EZ	6,350,691 B1		Lankford	02/26/02		
	FA	6,352,466 B1		Moore	03/05/02		
	FB	6,352,470 B2		Elledge	03/05/02		
	FC	6,387,289		Wright	05/14/02		
	FD	6,428,386		Bartlett	08/06/02		
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